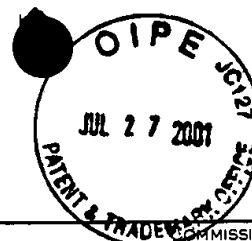




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/819,520	03/27/2001	Ansheng Liu	042390P11003

CONFIRMATION NO. 2821

## FORMALITIES LETTER



\*OC000000006133250\*

James Y. Go  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

Date Mailed: 05/31/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

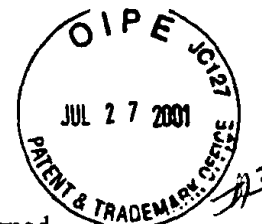


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:

Ansheng Liu

Application No.: 09/819,520

Filed: March 27, 2001

For: METHOD AND APPARATUS FOR  
INTERLEAVING AND SWITCHING  
AN OPTICAL BEAM IN A  
SEMICONDUCTOR SUBSTRATE

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Corrected Application Papers (Filing Date Granted)  
mailed May 31, 2001, please find enclosed:

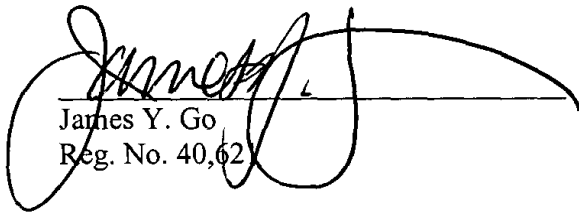
- (1) a copy of Formal Drawings as filed;
- (2) a copy of the Notice to File Corrected Application Papers;

If any additional fee is required, please charge Deposit Account No. 02-2666. A  
duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7-23-01

  
James Y. Go  
Reg. No. 40,621

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(425) 827-8600

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on

*July 23, 2001*

Date of Deposit

Paige A. Johnson

Name of Person Mailing Correspondence

*Paige A. Johnson*

Signature

*July 23, 2001*

Date



63 Co  
Docket No.: 042390P11003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Ansheng Liu

Application No.: 09/819,520

Filed: March 27, 2001

For: METHOD AND APPARATUS FOR  
INTERLEAVING AND SWITCHING  
AN OPTICAL BEAM IN A  
SEMICONDUCTOR SUBSTRATE

)  
) Examiner: Not Yet Assigned

)  
) Art Unit: Not Yet Assigned

**TRANSMITTAL OF FORMAL DRAWINGS**

Attn: Official Draftsman  
Assistant Commissioner for Patents  
Washington, D.C. 20231

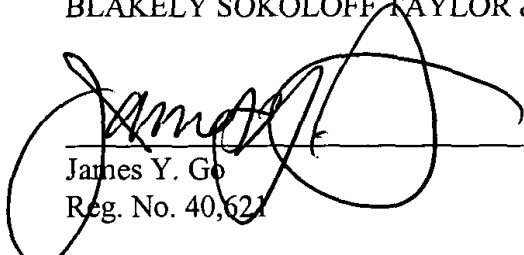
Dear Sir:

Enclosed herewith for filing in the above-identified U.S. patent application are the formal drawings, Figures 1, 2, 3, 4, 5, and 6 (6) sheets.

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN, LLP

Date: 7-23-01

  
\_\_\_\_\_  
James Y. Go  
Reg. No. 40,621

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Los Angeles, CA 90025  
(425) 827-8600

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231, on 7-23-01

Paige A. Johnson  
typed or printed name of person mailing correspondence

  
\_\_\_\_\_  
Signature

July 23, 2001  
\_\_\_\_\_  
Date